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PTO/SB/21 (02-04)
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10/774,286

February 06, 2004

**Application Number** 

Filing Date

FORM		First Named Inventor	Kerwin D. Dobbs Et. Al.				
(to be used for all correspondence after initial filing)		Art Unit	1774				
		Examiner Name	Unknown				
Total Number of Pages in This Submission		Attorney Docket Number	UC0405USCIP				
ENCLOSURES (Check all that apply)							
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attorney, Revocation  Change of Correspondence o	Affi to App of App (All Properties Address State Oct	per Allowance communication Technology Center (TC) peal Communication to Board Appeals and Interferences peal Communication to TC opeal Notice, Brief, Reply Brief) oprietary Information atus Letter ther Enclosure(s) (please entify below):			
Sim.		F APPLICANT, ATTO	ORNEY, OR AGEN	<u>r</u>			
E. I. DU PONT DE NEMOURS AND COMPANY Individual name Signature Date  CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.							
Typed or printed name Diane M. Dick							
Signature Suu	e m	1. Dick		Date June 25,2004			

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



## THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE APPLICATION OF:

KERWIN D. DOBBS ET. AL.

CASE NO.: UC0405USCIP APPLICATION NO.: 10/774,286

CONFIRMATION NO.: 8498 GROUP ART UNIT: 1774

EXAMINER: UNKNOWN FILED: FEBRUARY 06, 2004

FOR: ELECTROLUMINESCENT IRIDIUM COMPOUNDS WITH FLUORINATED

PHENYLPYRIDINE LIGANDS, AND DEVICES MADE WITH SUCH

**COMPOUNDS** 

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with 37 CFR 1.97 and 1.98, Applicants bring to the attention of the U.S. Patent and Trademark Office information listed on the enclosed PTO/SB/08. A copy of the information is also enclosed.

Applicants also bring to the attention of the Patent and Trademark the U.S. applications listed in the table below. Please note that U.S. Application Ref. Nos. 2-12 are either continuations or divisionals that depend from the same application (09/879,014), with different claims, and only the originally filed parent application is provided. In addition, copies of U.S. Application Nos. 10/284,593 (Ref. No. 13) and 10/284,728 (Ref. No. 14) are provided herewith.

Application No.: 10/774,285 Docket No.: UC0406 US CIP

		RADEMARY		
Reference	Atty. Docket	Application	Filing Date	Inventor
No.	No.	No.		
1	PE0649 US NA	09/879,014	06/12/2001	Vladimir Grushin et al.
2	PE0649 USCNT	10/366,295	02/13/2003	Vladimir Grushin et al.
3	PE0649 USCNT1	10/699,411	10/30/2003	Vladimir Grushin et al.
4	PE0649 USDIV	10/459,946	06/12/2003	Vladimir Grushin et al.
5	PE0649 USDIV1	10/696,060	10/29/2003	Vladimir Grushin et al.
6	PE0649 USDIV2	10/696,349	10/29/2003	Vladimir Grushin et al.
7	PE0649 USDIV3	10/696,003	10/29/2003	Vladimir Grushin et al.
8	PE0649 USDIV4	10/696,401	10/29/2003	Vladimir Grushin et al.
9	PE0649 USDIV5	10//696,095	10/29/2003	Vladimir Grushin et al.
10	PE0649 USDIV6	10/696,048	10/29/2003	Vladimir Grushin et al.
11	PE0649 USDIV7	10/720,967	11/24/2003	Vladimir Grushin et al.
12	PE0649 USDIV8	10/720,954	11/24/2003	Vladimir Grushin et al.
13	PE0678 US NA	10/284,593	10/31/2002	Daniel D. LeCloux et al.
14	PE0686 US NA	10/284,728	10/284,728	Daniel D. LeCloux et al.

Should any fee be required in connection with the filing of this Information Disclosure Statement, please charge such fee to Deposit Account No. 04-1928 (E. I. du Pont de Nemours and Company).

Respectfully submitted,

ATTORNEY FOR APPLICANTS

Régistration No.: 32,659 Telephone: (302) 992-3749 Facsimile: (302) 892-7949

Enclosures

PTO/SB/08A (08-03) Approved for use through 07/31/2006, OMB 0651-0031
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Substitute for form 1449APFO Complete if Known

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Filing Date February 06, 2004 First Named Inventor

Application Number

KERWIN D. DOBBS Group Art Unit 1774 UNKNOWN **Examiner Name** 

10/774,286

(use as many sheets as necessary) Sheet of

UC0405USCIP Attorney Docket Number

				U.S. PATI	ENT DOCUMENTS	
Examiner Initials *	Cite No.1		ocument Number  - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US -	5,552,678 A	09-03-1996	Tang et al.	
		US -	6,303,238 B1	10-16-2001	Thompson et al.	
·		US -	6,670,645 B2	12-30-2003	Grushin et al.	
		US -	2001/0019782 A1	09-06-2001	lgarashi et al.	
		US -	2001/0053462 A1	12-20-2001	Mishima	
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	FOREIGN PATENT DOCUMENTS						
Examiner Cite	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
Initials*	No.¹	CountryCode <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY	Applicant of Cited Document		T <sub>6</sub>	
		WO 96/03410 A1	02-08-1996	BoehringeMannheimGmbH			
		WO 00/57676 A1	09-28-2000				
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		WO 01/41512 A1	06-07-2001	Princeton Univ & USC			
		WO 02/02714 A2	01-10-2002	DuPont			
		WO 02/15645 A1	02-21-2002	Princeton Univ & USC			
		WO 02/44189 A1	06-06-2002	Canon			
		EP 1 175 128 A2	01-23-2002	Fuji Photo Film Co.			
		EP 1 191 614 A2	03-27-2002	Canon Kabushiki Kaisha			

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Examiner	Date	
Signature	Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.com/www.uspto">www.uspto.com/ language Translation is attached.

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Substitute	for form 1449A/PTC	)			Complete if Known	
INICO	DRAKTION	DIC	CLOCUDE	Application Number	10/774,286	
			CLOSURE	Filing Date	February 06, 2004	
STAT	LEMENT B	Y A	PPLICANT	First Named Inventor	KERWIN D. DOBBS ET. AL.	
				Group Art Unit	1774	
(L	ise as many sheet	ts as n	ecessary)	Examiner Name	UNKNOWN	
Sheet	2	of	2	Attorney Docket Number	UC0405USCIP	

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	_	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
		DJUROVICH, PETER I. ET AL., Ir(III) Cyclometalated Complexes As Efficient Phosphorescent Emitters in Polymer Blend and Organic LEDs, Polymer Preprints, 2000, 770-771, 41(1)					
		CHATANI, NAOTO ET AL., Ru3(CO)12-Catalyzed Reaction of Pyridylbenzenes with Carbon Monoxide and Olefins. Carbonylation at a C-H Bond in the Benzene Ring, J. Org. Chem., 1997, 2604-2610, 62, American Chemical Society					
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		BALDO, M. A. ET AL., Very high-efficiency green organic light-emitting devices based on electrophosphorescence, Applied Physics Letters, July 5, 1999, 4-6, 75(1) American Institue of Physics					
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		WANG, YUE ET AL., (Hydroxyphenyl)pyridine derivative, its metal complexes and application as electroluminescence material, Chemical Abstracts Service, March 1, 2000, Database No. 133:315395					
:		DEDEIAN K. ET AL., A New Synthetic Route to the Preparation of a Series of Strong Photoreducing Agents: fac Tris-Ortho-Metalated Complexes of Iridium(III) with Substituted 2-Phenylpyridines, Inorg. Chem., 1991, 1685-1687, 30(8), American Chemical Society					
		LAMANSKY, SERGEY ET AL., High Phosphorescent Bis-Cyclometalated Iridium Complexes: Synthesis, Photophysical Characterization, and Use in Organic Light Emitting Devices, J. Am. Chem. Soc., 2001, 4304-4312, 123, American Chemical Society					
		LAMANSKY, SERGEY ET AL., Synthesis and Characterization of Phosphorescent Cyclometalated Iridium Complexes, J. Am. Chem. Soc., 2001, 1704-1711, 40, American Chemical Society					
		LAMANSKY SERGEY ET AL., Molecularly doped polymer light emitting diodes utilizing phosphorescent Pt(II) and Ir (III) dopants, Organic Electronics, 2001, 53-62, 2, Elsevier Science B.V.					
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Examiner	I	Date					

Examiner	Date
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.